

**PCT REQUEST**

Print Out (Original in Electronic Form)

<b>0</b>	<b>For receiving Office use only</b>	
<b>0-1</b>	International Application No.	
<b>0-2</b>	International Filing Date	
<b>0-3</b>	Name of receiving Office and "PCT International Application"	
<b>0-4</b>	<b>Form - PCT/RO/101 PCT Request</b>	
<b>0-4-1</b>	Prepared Using	<b>PCT Online Filing Version 3.50 (Build 0001.162)</b>
<b>0-5</b>	<b>Petition</b> The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
<b>0-6</b>	<b>Receiving Office (specified by the applicant)</b>	<b>European Patent Office (EPO) (RO/EP)</b>
<b>0-7</b>	<b>Applicant's or agent's file reference</b>	<b>1134WOORD01</b>
<b>I</b>	<b>Title of Invention</b>	<b>NOVEL 6-PHENYLPHENANTRIDINES</b>
<b>II</b>	<b>Applicant</b>	
<b>II-1</b>	This person is:	<b>applicant only</b>
<b>II-2</b>	Applicant for	<b>all designated States except US</b>
<b>II-4</b>	Name:	<b>ALTANA PHARMA AG</b>
<b>II-5</b>	Address:	<b>Byk-Gulden-Str. 2 78467 Konstanz Germany</b>
<b>II-6</b>	State of nationality	<b>DE</b>
<b>II-7</b>	State of residence	<b>DE</b>
<b>II-8</b>	Telephone No.	<b>+49-(0)7531-84-5226</b>
<b>II-9</b>	Facsimile No.	<b>+49-(0)7531-84-5321</b>
<b>II-10</b>	e-mail	<b>DEKON.IPPA-DE@altanapharma.com</b>

## PCT REQUEST

Print Out (Original in Electronic Form)

<b>III-1</b>	<b>Applicant and/or inventor</b>	
III-1-1	This person is:	<b>applicant and inventor</b>
III-1-2	Applicant for	<b>US only</b>
III-1-3	Inventor for	<b>AP: (BW GH GM KE LS MW MZ SD SL SZ TZ UG</b> <b>ZM ZW); EA: (AM AZ BY KG KZ MD RU TJ</b> <b>TM); EP: (AT BE BG CH&amp;LI CY CZ DE DK EE</b> <b>ES FI FR GB GR HU IE IT LU MC NL PL PT</b> <b>RO SE SI SK TR); OA: (BF BJ CF CG CI CM</b> <b>GA GN GQ GW ML MR NE SN TD TG); AE AG AL</b> <b>AM AT AU AZ BA BB BG BR BW BY BZ CA CH&amp;</b> <b>LI CN CO CR CU CZ DE DK DM DZ EC EE EG</b> <b>ES FI GB GD GE GH GM HR HU ID IL IN IS</b> <b>JP KE KG KP KR KZ LC LK LR LS LT LU LV</b> <b>MA MD MG MK MN MW MX MZ NA NI NO NZ OM</b> <b>PG PH PL PT RO RU SC SD SE SG SK SL SY</b> <b>TJ TM TN TR TT TZ UA UG US UZ VC VN YU</b> <b>ZA ZM ZW</b>
III-1-4	Name (LAST, First)	<b>SCHMIDT, Beate</b>
III-1-5	Address:	<b>Allensbacher Str. 5</b> <b>78476 Allensbach</b> <b>Germany</b>
III-1-6	State of nationality	<b>DE</b>
III-1-7	State of residence	<b>DE</b>
<b>III-2</b>	<b>Applicant and/or inventor</b>	
III-2-1	This person is:	<b>inventor only</b>
III-2-4	Name (LAST, First)	<b>FLOCKERZI, Dieter</b>
III-2-5	Address:	<b>Ackerweg 26</b> <b>78476 Allensbach</b> <b>Germany</b>
<b>III-3</b>	<b>Applicant and/or inventor</b>	
III-3-1	This person is:	<b>inventor only</b>
III-3-4	Name (LAST, First)	<b>GRUNDLER, Gerhard</b>
III-3-5	Address:	<b>Meersburger Str. 4</b> <b>78464 Konstanz</b> <b>Germany</b>
<b>III-4</b>	<b>Applicant and/or inventor</b>	
III-4-1	This person is:	<b>inventor only</b>
III-4-4	Name (LAST, First)	<b>ROCKER, Dirk</b>
III-4-5	Address:	<b>Herzenstr. 5</b> <b>78315 Radolfzell</b> <b>Germany</b>

## PCT REQUEST

Print Out (Original in Electronic Form)

<b>III-5</b>	<b>Applicant and/or inventor</b>	
III-5-1	This person is:	<b>inventor only</b>
III-5-4	Name (LAST, First)	<b>HATZELMANN, Armin</b>
III-5-5	Address:	<b>Alter Wall 3 78467 Konstanz Germany</b>
<b>III-6</b>	<b>Applicant and/or inventor</b>	
III-6-1	This person is:	<b>inventor only</b>
III-6-4	Name (LAST, First)	<b>BARSIG, Johannes</b>
III-6-5	Address:	<b>Bleichenweg 11 78467 Konstanz Germany</b>
<b>III-7</b>	<b>Applicant and/or inventor</b>	
III-7-1	This person is:	<b>inventor only</b>
III-7-4	Name (LAST, First)	<b>MARX, Degenhard</b>
III-7-5	Address:	<b>Obere Reute 15 78345 Moos Germany</b>
<b>IV-1</b>	<b>Agent or common representative; or address for correspondence</b>	
	The person identified below is hereby/ has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	<b>agent</b>
IV-1-1	Name (LAST, First)	<b>WILD, Robert</b>
IV-1-2	Address:	<b>c/o ALTANA Pharma AG 78467 Konstanz Germany</b>
IV-1-3	Telephone No.	<b>+49(0)7531-84-5226</b>
IV-1-4	Facsimile No.	<b>+49(0)7531-84-5321</b>
IV-1-5	e-mail	<b>dekon.ippa-de@altanapharma.com</b>
IV-1-6	Agent's registration No.	<b>099982.1</b>
<b>V</b>	<b>DESIGNATIONS</b>	
<b>V-1</b>	<b>The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.</b>	

**PCT REQUEST**

Print Out (Original in Electronic Form)

<b>VI-1</b>	<b>Priority claim of earlier regional application</b>		
VI-1-1	Filing date	<b>31 July 2003 (31.07.2003)</b>	
VI-1-2	Number	<b>03017337.1</b>	
VI-1-3	Regional Office	<b>EP</b>	
<b>VII-1</b>	<b>International Searching Authority Chosen</b>	<b>European Patent Office (EPO) (ISA/EP)</b>	
<b>VII-2</b>	<b>Request to use results of earlier search; reference to that search</b>		
VII-2-1	Date	<b>13 November 2003 (13.11.2003)</b>	
VII-2-2	Number	<b>03017337.1</b>	
VII-2-3	Country (or regional Office)	<b>EP</b>	
<b>VIII</b>	<b>Declarations</b>	Number of declarations	
VIII-1	Declaration as to the identity of the inventor	-	
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	<b>1</b>	
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-	
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	<b>1</b>	
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-	

## PCT REQUEST

Print Out (Original in Electronic Form)

VIII-2-1	<b>Declaration: Entitlement to apply for and be granted a patent</b> Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate: Name (LAST, First)	<b>in relation to this international application</b>  <b>ALTANA PHARMA AG is entitled to apply for and be granted a patent by virtue of the following:</b>
VIII-2-1(i) i)		<b>ALTANA PHARMA AG is entitled as employer of the inventor, FLOCKERZI, Dieter</b>
VIII-2-1(i) i)		<b>ALTANA PHARMA AG is entitled as employer of the inventor, GRUNDLER, Gerhard</b>
VIII-2-1(i) i)		<b>ALTANA PHARMA AG is entitled as employer of the inventor, ROCKER, Dirk</b>
VIII-2-1(i) i)		<b>ALTANA PHARMA AG is entitled as employer of the inventor, HATZELMANN, Armin</b>
VIII-2-1(i) i)		<b>ALTANA PHARMA AG is entitled as employer of the inventor, BARSIG, Johannes</b>
VIII-2-1(i) i)		<b>ALTANA PHARMA AG is entitled as employer of the inventor, MARX, Degenhard</b>
VIII-2-1(i) i)		<b>ALTANA PHARMA AG is entitled as employer of the inventor, SCHMIDT, Beate</b>
VIII-2-1(i) x)	This declaration is made for the purposes of:	<b>all designations except the designation of the United States of America</b>

## PCT REQUEST

Print Out (Original in Electronic Form)

VIII-4-1	<p><b>Declaration: Inventorship (only for the purposes of the designation of the United States of America)</b> Declaration of Inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:</p>	<p>I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.</p> <p>This declaration is directed to the international application of which it forms a part (if filing declaration with application).</p> <p>I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications", by application number, country or Member of the World Trade Organization, day, month, and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.</p>
VIII-4-1-1	Prior applications:	

## PCT REQUEST

Print Out (Original in Electronic Form)

		<p>I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>
VIII-4-1-1-1	Name (LAST, First)	SCHMIDT, Beate
VIII-4-1-1-2	Residence: (city and either US State, if applicable, or country)	Allensbach, Germany
VIII-4-1-1-3	Mailing address:	Allensbacher Str. 5 D-78476 Allensbach Germany
VIII-4-1-1-4	Citizenship:	DE
VIII-4-1-1-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	/Beate SCHMIDT/
VIII-4-1-1-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	30 July 2004 (30.07.2004)

## PCT REQUEST

Print Out (Original in Electronic Form)

<b>IX</b>	<b>Check list</b>	<b>number of sheets</b>	<b>electronic file(s) attached</b>
IX-1	Request (including declaration sheets)	<b>8</b>	✓
IX-2	Description	<b>29</b>	✓
IX-3	Claims	<b>5</b>	✓
IX-4	Abstract	<b>1</b>	✓
IX-5	Drawings	<b>0</b>	✓
IX-7	<b>TOTAL</b>	<b>43</b>	
	<b>Accompanying Items</b>	<b>paper document(s) attached</b>	<b>electronic file(s) attached</b>
IX-8	Fee calculation sheet	-	✓
IX-11	Copy of general power of attorney	-	<b>reference no. 00026160.2</b>
IX-17	PCT-SAFE physical media	-	-
IX-19	Figure of the drawings which should accompany the abstract		
IX-20	Language of filing of the international application	<b>English</b>	
X-1	Signature of applicant, agent or common representative	<b>(PKCS7 Digital Signature)</b>	
X-1-1	Name (LAST, First)	<b>WILD, Robert</b>	
X-1-2	Name of signatory		
X-1-3	Capacity		

## FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	<b>ISA/EP</b>
10-6	Transmittal of search copy delayed until search fee is paid	

## FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
------	--	--



**PCT (ANNEX - FEE CALCULATION SHEET)**

Print Out (Original in Electronic Form)

(This sheet is not part of and does not count as a sheet of the international application)

**REMARKS**

13-1-1	Applicant Remarks	It seems to me that the Print out (Original in electronic Form) does not reflect the data that have been entered into PCT online Filing Software Version 3.50 (Build 0001.162). The following was intended: SCHMIDT, Beate is applicant and inventor. She is applicant for US only and inventor for all designated states. FLOCKERZI, Dieter; GRUNDLER, Gerhard; ROCKER, Dirk; HATZELMANN, Armin; BARSIG, Johannes; MARX, Degenhard are inventors only. They are inventors for all designated states with the exception of US, CA and PH.
--------	-------------------	---